

Please type a plus sign (+) inside this box: → ☐

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | |
|---|--------------------------|-------------------|
| DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) | Attorney Docket Number | PHAG 100 |
| | First Named Inventor | Peter J. Houzego |
| | COMPLETE IF KNOWN | |
| | Application Number | 09 / 742,936 |
| | Filing Date | December 20, 2000 |
| | Group Art Unit | 1615 |
| Examiner Name | | |

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AN INGESTIBLE DEVICE

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) December 20, 2000

as United States Application Number or PCT International

Application Number

09/742,936

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|-------------------------------------|
| 9930000.6 | GB | 12/21/1999 | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. |
|-----------------------|--------------------------|--|
| | | |

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PHAG/P23853US 20260/1

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☐ Customer Number or Bar Code Label OR ☒ Correspondence address below

Name Patrea L. Pabst

Address Arnall Golden & Gregory, LLP

Address 2800 One Atlantic Center; 1201 West Peachtree Street

City Atlanta

State GA

ZIP 30309-3450

Country USA

Telephone (404) 873-8794

Fax (404) 873-8795

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name Peter J.
(first and middle [if any])Family Name Houzego
or SurnameInventor's
Signature

Date 15/5/01

Residence: City Cambridge

State

UNITED KINGDOM
Country

Citizenship GB

Mailing Address 8 The Drift, Oakington

Mailing Address

City Cambridge

State

ZIP CB4 5AD

UNITED KINGDOM
Country

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name Peter N.
(first and middle [if any])Family Name Morgan
or SurnameInventor's
Signature

Date 13/02/2001

Residence: City Cambridge

State

UNITED KINGDOM
Country

Citizenship GB

Mailing Address 21 Bishops Road

Mailing Address

City Cambridge

State

ZIP CB2 2NQ

UNITED KINGDOM
Country☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Filed: December 20, 2000

Title: AN INGESTIBLE DEVICE

Please type a plus sign (+) inside this box



PTO/SB/02A (11-00)

Approved for use through 10/31/2002. CMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | |
|--------------------|---|
| DECLARATION | ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>1</u> of <u>1</u> |
|--------------------|---|

| | | | |
|--|-------|---|------------------------|
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle [if any]) | | Family Name or Surname | |
| Peter H. | | Hirst | |
| Inventor's Signature | | Date <u>02 JANUARY 2001</u> | |
| Residence: City Nottinghamshire | State | Country UNITED KINGDOM | Citizenship GB |
| Mailing Address 47 Charlock Gardens, Bingham | | | |
| Mailing Address | | | |
| City Nottinghamshire | State | ZIP NG13 9UH | Country UNITED KINGDOM |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle [if any]) | | Family Name or Surname | |
| Duncan J. | | Westland | |
| Inventor's Signature | | Date <u>15/5/01</u> | |
| Residence: City Cambridge | State | Country UNITED KINGDOM | Citizenship GB |
| Mailing Address 30 Plumian Way, Balsham | | | |
| Mailing Address | | | |
| City Cambridge | State | ZIP CB1 6EG | Country UNITED KINGDOM |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle [if any]) | | Family Name or Surname | |
| Ian R. | | Wilding | |
| Inventor's Signature | | Date <u>2 January 2001</u> | |
| Residence: City Nottingham | State | Country UNITED KINGDOM | Citizenship GB |
| Mailing Address 10 Glebe Street, Beeston | | | |
| Mailing Address | | | |
| City Nottingham | State | ZIP NG9 1BZ | Country UNITED KINGDOM |

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Peter J. Houzgo, Peter N. Morgan, Peter H. Hirst, Duncan J. Westland,
and Ian R. Wilding

Serial No.: 09/742,936

Group Art Unit: Not yet assigned

Filed: December 20, 2000

Examiner: Not yet assigned

For: AN INGESTIBLE DEVICE

**POWER OF ATTORNEY BY ASSIGNEE OF
ENTIRE INTEREST AND REVOCATION OF PRIOR POWERS**

As owner of the entire interest of the above-identified patent application, as evidenced by the executed Statement Under 37 C.F.R. § 3.73(b) attached hereto, all powers of attorney previously given are hereby revoked and the following attorneys are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Patrea L. Pabst
Zhaoyang Li
Rivka Monheit

Registration No. 31,284
Registration No. 46,872
Registration No. P-48,731

Please send all correspondence relating to the above patent application to

Patrea L. Pabst
HOLLAND & KNIGHT LLP
Suite 2000, One Atlantic Center
1201 West Peachtree Street, N.E.
Atlanta, Georgia 30309-3400
(404) 817-8473 - Telephone
(404) 817-8588 - Fax

U.S.N.: 09/742,936
Filed: December 20, 2000
**POWER OF ATTORNEY BY ASSIGNEE
OF ENTIRE INTEREST AND
REVOCATION OF PRIOR POWERS**

The undersigned signatory (whose titled is supplied below) is empowered to act on behalf of the assignee identified below, and has reviewed all the documents in the chain of title of the patent application and, to the best of undersigned's knowledge and belief, title is held by the assignee.

PHAETON RESEARCH LTD.

Date: 2 July 2001

Name: IAN WILDING

Title: DIRECTOR
sw

Signature: I. Wilding

ATL1 #487325 v1



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 19, 2001

PTAS



101822380A

HOLLAND & KNIGHT LLP
PATREA L. PABST
SUITE 2000, ONE ATLANTIC CENTER
1201 WEST PEACHTREE STREET, N.E.
ATLANTA, GA 30309-3400

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/20/2001

REEL/FRAME: 012073/0987

NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HOUZEGO, PETER J.

DOC DATE: 05/15/2001

ASSIGNOR:

MORGAN, PETER N.

DOC DATE: 02/13/2001

ASSIGNOR:

HIRST, PETER H.

DOC DATE: 01/02/2001

ASSIGNOR:

WESTLAND, DUNCAN J.

DOC DATE: 05/15/2001

ASSIGNOR:

WILDING, IAN R.

DOC DATE: 01/02/2001

ASSIGNEE:

PHAETON RESEARCH LTD.
MERE WAY, RUDDINGTON FIELDS
RUDDINGTON, NOTTINGHAM
UNITED
KINGDOM
NG11 6JS

RECEIVED

OCT 25 2001

PATENT DEPT.

Docketed for PHAC 100

JCS

11-8-01

012073/0987 PAGE 2

SERIAL NUMBER: 09742936
PATENT NUMBER:

FILING DATE: 12/20/2000
ISSUE DATE:

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

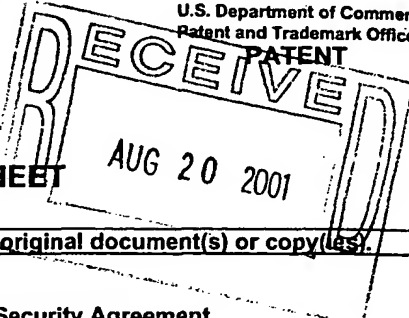
08-24-2001



101822380

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office



8-2001

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type



New



Resubmission (Non-Recordation)

Document ID#



Correction of PTO Error

Reel #

Frame #



Corrective Document

Reel #

Frame #

Conveyance Type



Assignment



Security Agreement



License



Change of Name



Merger



Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)



Departmental File



Secret File

Conveying Party(ies)



Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1)

Houzege, Peter J.

05152001

Name (line 2)

Second Party

Execution Date
Month Day Year

Name (line 1)

Morgan, Peter N.

02132001

Name (line 2)

Receiving Party



Mark if additional names of receiving parties attached

Name (line 1)

Phaeton Research Ltd.

Name (line 2)

Address (line 1)

Mere Way, Ruddington Fields

Address (line 2)

Ruddington

Address (line 3)

Nottingham

UNITED KINGDOM

NG11 6JS

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

08/24/2001 DBYRHE 00000050 09742936

01 FC:\$81

40.00 DP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: PHAGIP23853US PHAG 100
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231 078230/00011

Correspondent Name and Address

Area Code and Telephone Number (404) 817-8473

Name Patrea L. Pabst

Address (line 1) Holland & Knight LLP

Address (line 2) Suite 2000, One Atlantic Center

Address (line 3) 1201 West Peachtree Street, N.E.

Address (line 4) Atlanta, Georgia 30309-3400

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

6

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09742936

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Deposit Account

Enclosed ☒

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

50-1868

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Patrea L. Pabst

Name of Person Signing

Reg. No.: 31,284

Signature

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Hirst, Peter H.

Execution Date
Month Day Year
01022001

Name (line 2)

Name (line 1) Westland, Duncan J.

Execution Date
Month Day Year
05152001

Name (line 2)

Name (line 1) Wilding, Ian R.

Execution Date
Month Day Year
01022001

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09742936

ASSIGNMENT

We, Peter J. Houzego of 8 The Drift, Oakington, Cambridge CB4 5AD, UNITED KINGDOM, Peter N. Morgan of 21 Bishops Road, Cambridge CB2 2NQ, UNITED KINGDOM, Peter H. Hirst of 47 Charlock Gardens, Bingham, Nottinghamshire NG13 8UH, UNITED KINGDOM, Duncan J. Westland of 30 Plumian Way, Balsham, Cambridge CB1 6EG, UNITED KINGDOM, and Ian R. Wilding, 10 Glebe Street, Beeston, Nottingham NG9 1BZ, UNITED KINGDOM, in consideration of ten dollars and other valuable consideration paid to us by Phaeton Research Ltd., a corporation of the UNITED KINGDOM, having its principal place of business at Mere Way, Ruddington Fields, Ruddington, Nottingham NG11 6JS, UNITED KINGDOM, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Phaeton Research Ltd., its successors and assigns, the entire interest for the United States of America, and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property, in a certain invention or improvement in "*An Ingestible Device*" described in U.S. Serial No. 09/742,936 filed in the United States Patent and Trademark Office on December 20, 2000, by Peter J. Houzego, Peter N. Morgan, Peter H. Hirst, Duncan J. Westland, and Ian R. Wilding, which claims priority to United Kingdom Application No. GB 9930000.6 filed on December 21, 1999, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any provisional, divisional, continuation, continuation-in-part, reissue, or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns, but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation, and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

Title: "An Ingestible Device"

By: Peter J. Houzgo, Peter N. Morgan, Peter H. Hirst,
Duncan J. Westland, and Ian R. WildingFiled: December 20, 2000
ASSIGNMENT

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand and seal this 15th day of May, 2000. 2001
Peter J. Houzgo

Witnesses:

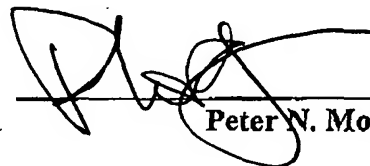
1. Signed: David Hall
Printed Name: DAVID HALL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN 568 6DP
2. Signed: Mary Toll
Printed Name: MARY TOLL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN 568 6DP

Title: "An Ingestible Device"

By: Peter J. Houzago, Peter N. Morgan, Peter H. Hirst,
Duncan J. Westland, and Ian R. Wilding

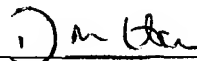
Filed: December 20, 2000

ASSIGNMENT

WITNESS my hand and seal this 13 day of FEBRUARY, ~~2000~~ 2001

Peter N. Morgan

Witnesses:

1. Signed: 
Printed Name: DAVID HALL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN SGB GDP
2. Signed: Mary Toll
Printed Name: MARY TOLL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN SGB GDP


Title: "An Ingestible Device"

By: Peter J. Houzago, Peter N. Morgan, Peter H. Hirst,
Duncan J. Westland, and Ian R. Wilding

Filed: December 20, 2000

ASSIGNMENT

WITNESS my hand and seal this SECOND day of JANUARY, 2000. *02 JAN 2000*


Peter H. Hirst

Witnesses:

1.

Signed: 

Printed Name:

PETER D HEADLAND

Address:

26 ARUNDEL DRIVE, BRAMCOTE, NOTTINGHAM
NG9 3FX

2.

Signed: 

Printed Name:

IAN WILDING

Address:

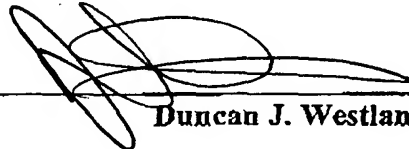
10 CLEGG ST Beeston, Nottingham NG9 1BZ

Title: "An Ingestible Device"

By: Peter J. Houzago, Peter N. Morgan, Peter H. Hirst,
Duncan J. Westland, and Ian R. Wilding

Filed: December 20, 2000
ASSIGNMENT

WITNESS my hand and seal this 15th day of MAY, 2000


Duncan J. Westland

Witnesses:

1. Signed: David Hall
Printed Name: DAVID HALL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN 308 6DP

2. Signed: Mary Toll
Printed Name: MARY TOLL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN 308 6DP

Title: "An Ingestible Device"
By: Peter J. Houzgo, Peter N. Morgan, Peter H. Hirst,
Duncan J. Westland, and Ian R. Wilding
Filed: December 20, 2000
ASSIGNMENT

WITNESS my hand and seal this 2nd day of January, 2001.

2001 ^{sh}
2 Jan 200

I. Wilding

Ian R. Wilding

Witnesses:

1. Signed: [Signature]

Printed Name: PETER H HIRST

Address: 8 GOUDECOTE CLOSE, EAST BRIDGFORD, NOTTINGHAM, NG13 8PR

2. Signed: [Signature]

Printed Name: PETER D HEADLAND

Address: 26 ARUNDEL DRIVE, BRAMCOTE, NOTTINGHAM, NG9 3FX

ASSIGNMENT

We, Peter J. Houzego of 8 The Drift, Oakington, Cambridge CB4 5AD, UNITED KINGDOM, Peter N. Morgan of 21 Bishops Road, Cambridge CB2 2NQ, UNITED KINGDOM, Peter H. Hirst of 47 Charlock Gardens, Bingham, Nottinghamshire NG13 8UH, UNITED KINGDOM, Duncan J. Westland of 30 Plumian Way, Balsham, Cambridge CB1 6EG, UNITED KINGDOM, and Ian R. Wilding, 10 Glebe Street, Beeston, Nottingham NG9 1BZ, UNITED KINGDOM, in consideration of ten dollars and other valuable consideration paid to us by Phaeton Research Ltd., a corporation of the UNITED KINGDOM, having its principal place of business at Mere Way, Ruddington Fields, Ruddington, Nottingham NG11 6JS, UNITED KINGDOM, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Phaeton Research Ltd., its successors and assigns, the entire interest for the United States of America, and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property, in a certain invention or improvement in "*An Ingestible Device*" described in U.S. Serial No. 09/742,936 filed in the United States Patent and Trademark Office on December 20, 2000, by Peter J. Houzego, Peter N. Morgan, Peter H. Hirst, Duncan J. Westland, and Ian R. Wilding, which claims priority to United Kingdom Application No. GB 9930000.6 filed on December 21, 1999, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any provisional, divisional, continuation, continuation-in-part, reissue, or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns, but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation, and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.


Title: "An Ingestible Device"

By: Peter J. Houzgo, Peter N. Morgan, Peter H. Hirst,
Duncan J. Westland, and Ian R. Wilding

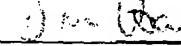
Filed: December 20, 2000
ASSIGNMENT

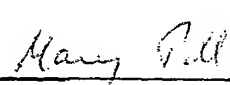
And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand and seal this 15th day of May, ~~2000~~ 2001


Peter J. Houzgo

Witnesses:

1. Signed: 
Printed Name: DAVID HALL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN 368 EDP

2. Signed: 
Printed Name: MARY TOLL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN 368 EDP

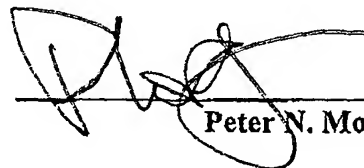
Title: "An Ingestible Device"

By: Peter J. Houzago, Peter N. Morgan, Peter H. Hirst,
Duncan J. Westland, and Ian R. Wilding

Filed: December 20, 2000


ASSIGNMENT

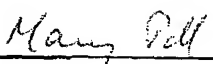
WITNESS my hand and seal this 13 day of FEBRUARY, ~~2000~~ 2001



Peter N. Morgan

Witnesses:

1. Signed: 
Printed Name: DAVID HALL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN 308 6DP


2. Signed: 
Printed Name: MARY TOLL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN 308 6DP

Title: "An Ingestible Device"

By: Peter J. Houzega, Peter N. Morgan, Peter H. Hirst,
Duncan J. Westland, and Ian R. Wilding

Filed: December 20, 2000

ASSIGNMENT

WITNESS my hand and seal this SECOND day of JANUARY, 2000. 


Peter H. Hirst

Witnesses:

1.

Signed: 

Printed Name: PETER D HEADLAND

Address: 26 ARUNDEL DRIVE, BRAMCOTE, NOTTINGHAM
NG9 3FX

2.

Signed: 

Printed Name: IAN WILDING

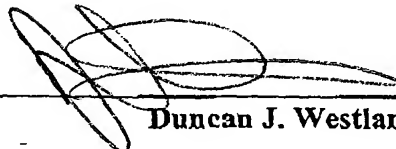
Address: 10 CLEGG ST Beeston, Nottingham NG9 1SZ

Title: "An Ingestible Device"

By: Peter J. Houzgo, Peter N. Morgan, Peter H. Hirst,
Duncan J. Westland, and Ian R. Wilding

Filed: December 20, 2000
ASSIGNMENT

WITNESS my hand and seal this 15th day of MAY, 2000.



Duncan J. Westland

Witnesses:

1. Signed: David Hall
Printed Name: DAVID HALL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN SFS GDP

2. Signed: Mary Toll
Printed Name: MARY TOLL
Address: CAMBRIDGE TECHNOLOGY CENTRE
MELBOURN SFS GDP

Title: "An Ingestible Device"

By: Peter J. Houzgo, Peter N. Morgan, Peter H. Hirst,
Duncan J. Westland, and Ian R. Wilding

Filed: December 20, 2000
ASSIGNMENT

WITNESS my hand and seal this 2nd day of January, 2001. ^{sh} _{23 Jan 2001}

I. Wilding
Ian R. Wilding

Witnesses:

1. Signed: [Signature]
Printed Name: PETER H HIRST
Address: 3 GAVECOTE CLOSE, EAST BRIDGEFORD, NOTTINGHAM, NG13 8PR

2. Signed: [Signature]
Printed Name: PETER D HEADLAND
Address: 26 ARUNDEL DRIVE, BRAMCOTE, NOTTINGHAM, NG9 3FX

PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Peter J. Houzago, Peter N. Morgan, Peter H. Hirst, Duncan J. Westland, and Ian R. WildingApplication No./Patent No.: 09/742,936 Filed/Issue Date: December 20, 2000Entitled: AN INGESTIBLE DEVICEPhaeton Research Ltd., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %
- in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2 January 2001
Date

IAN WILDING
Typed or printed name
I. Wilding
Signature
DIRECTOR
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

20260/1 PHAG/P23853US